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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Vikas Agarwal, et al. Confirmation No. 7137  
 Serial No.: 09/921,868 Examiner:  
 Filed: August 3, 2001 Group Art: 2171

For: A COMPUTER METHOD FOR PROVIDING OPTIMIZATION OF  
 MANUFACTURING PROCESSES, WITH DYNAMIC CONSTRAINTS

**COPY OF PAPERS  
 ORIGINALLY FILED**

Assistant Commissioner for Patents  
 Washington, D. C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/921,868 as originally filed on August 3, 2001. Accordingly, the above-identified application is now complete. Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application as set forth in 37 CFR 1.16(e).

Also in the Notice to File Missing Parts, the application was designated as informal and require substitute drawings in compliance with 37 CFR 1.84. In response to this notice, applicants have herewith submitted eleven (11) Formal Drawings along with a copy of the Notice. No fees are believed to be necessary at this time. However, in the event that any fees are required for the prosecution of this application, please charge any necessary fees to Deposit Account 09-0468. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested. Please charge the fee for this extension to Deposit Account No. 09-0468.

Dated: November 2, 2001

Respectfully submitted,

Manny W. Schecter  
 Reg. No. 31,722  
 (914) 945-3252  
 Attorney for Applicant

IBM CORPORATION  
 Intellectual Property Law Dept.  
 P.O. Box 218  
 Yorktown Heights, New York 10598

IBM Docket No. JP920010088US1

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,868	08/03/2001	Vikas Agarwal	JP920010088US1

## CONFIRMATION NO. 7137

## FORMALITIES LETTER

IBM CORPORATION  
 INTELLECTUAL PROPERTY LAW DEPT.  
 P.O. BOX 218  
 YORKTOWN HEIGHTS, NY 10598

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Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/25/2002 NMJHADM 06120001E 030418 0295.66

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*A copy of this notice **MUST** be returned with the reply.*

*Sandy*  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE